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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/782,217	02/19/2004	Kevin Nolish	FORE-107	5328

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EXAMINER

MEHRMANESH, ELMIRA

ART UNIT

PAPER NUMBER

2113

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	01/12/2007	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/782,217	<b>Applicant(s)</b> NOLISH ET AL.	
	<b>Examiner</b> Elmira Mehrmanesh	<b>Art Unit</b> 2113	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 18 October 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-8 and 10-19 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-8 and 10-19 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 18 June 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

This action is in response to an amendment filed on October 18, 2006 for the application of Nolish et al., for a "Method, apparatus and software for preventing switch failures in the presence of faults" filed February 19, 2004.

Claims 1-8, and 10-19 are pending in the application.

Claims 1-5 are rejected under 35 USC § 102.

Claims 6-8, and 10-19 are rejected under 35 USC § 103.

Claims 1-4, 6, 8, 10 have been amended.

Claim 9 has been cancelled.

### ***Claim Objections***

Claims 10-19 objected to because of the following informalities: claims 10-19 are dependent on a cancelled claim 9 and need to be changed to be dependent on claim 8. Appropriate correction is required.

### ***Claim Rejections - 35 USC § 101***

Claims 8, and 10-19 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. Examiner suggests amending claim 8 to include the limitation of "computer-readable medium". A program has to be stored on a computer-readable medium. Software programs claimed as computer listings per se, i.e., the descriptions or expressions of the programs are not physical "things." They are neither computer components nor statutory processes, as they are not "acts" being performed. Such claimed computer programs do not define any

structural and functional interrelationships between the computer program and other claimed elements of a computer, which permit the computer program's functionality to be realized.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-5 are rejected under 35 U.S.C. 102(b) as being anticipated by Abramson (U.S. Patent No. 6,000,043).

As per claim 1, Abramson discloses a switch for transferring data (Fig. 2) comprising:

at least one master unit (Fig. 2, element 43a) a plurality of slave units (Fig. 2, elements 40a-b and 50a-c) a bus through which the master unit communicates with the slave units (Fig. 2, element 45)

and a memory (Fig. 2, element 35) in communication with the master unit having a software program that causes the master unit to automatically recover (col. 3, lines 20-26) when a slave unit fails (Fig. 6b, elements 111a-b) which has caused the master unit to fail (Fig. 6a, element 103, *master abort*) and (col. 7, lines 44-47).

As per claim 2, Abramson discloses persistent storage (Fig. 2, element 35) that survives across abnormal termination of the master unit (Fig. 6a, element 103, *master abort*).

As per claim 3, Abramson discloses a mechanism for detecting failures of the slave units (Fig. 6b, elements 111a-b) and (col. 7, lines 44-47) and thereupon causes the master unit to abnormally terminate (Fig. 6a, element 103, *master abort*).

As per claim 4, Abramson discloses the software program causes the master unit to automatically recover when the detecting mechanism causes the master unit to abnormally terminate (col. 3, lines 20-26).

As per claim 5, Abramson discloses detecting mechanism includes a hardware watchdog device (col. 6, lines 20-27, expiration of timer).

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 6-8, and 10-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Abramson (U.S. Patent No. 6,000,043) in view of Cepulis et al. (U.S. Patent No. 6,463,550).

As per claim 6, Abramson discloses a method for transferring data comprising the steps of:

attempting to access a failed slave unit of a plurality of slave units (Fig. 2, elements 40a-b and 50a-c) of a switch by a master unit (col. 7, lines 44-47) of the switch with a signal through a bus through which the master unit (Fig. 2, element 43a) and the failed slave unit communicate (Fig. 2, element 45)

and automatically recovering (col. 3, lines 20-26) the master unit which has failed (Fig. 6a, element 103, *master abort*) and (col. 7, lines 44-47) because the failed slave unit failed (Fig. 6b, elements 111a-b) with a software program in the switch (col. 3, lines 20-26).

Abramson fails to explicitly disclose avoiding access to the failed device.  
Cepulis teaches:

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that directs the master unit to avoid further accessing (col. 5, lines 21-34, *prevent access*) the failed slave unit of the plurality of slayer units (Fig. 5, element 508, *tag failed device*).

It would have been obvious to one of ordinary skill in the art at the time the invention to use the method of management of peripheral devices of Abramson in combination with the fault detection and isolation system of Cepulis et al. to effectively detect system failures.

One of ordinary skill in the art at the time the invention would have been motivated to make the combination because Abramson discloses a method of identifying a faulty peripheral device by its address (col. 3, lines 17-19) and Cepulis et al. uses a method of assigning addresses to physical devices to identify a faulty device (col. 5, lines 29-34). Assigning logical addresses to physical devices permits efficient use of the computer's physical resources by the operating system and applications software (Cepulis, col. 2, lines 22-24).

As per claim 7, Abramson discloses a method as described in claim 6 wherein the recovering step includes the step of obtaining status information about the slave units from persistent storage (col. 3, lines 20-26, *status register*).

As per claim 8, Abramson discloses a software program whose contents causes a processor to perform the steps of:

Determining a master unit abnormally terminated when the master unit (Fig. 6a, element 103, *master abort*) attempted to access a first slave unit (col. 7, lines 44-47) identifying the first slave unit of a plurality of slave units of a switch has failed (Fig. 6b, elements 111a-b) when the first slave unit is attempted to be accessed by the master unit of the switch (col. 7, lines 44-47)

Cepulis teaches:

and preventing a master unit from attempting to access (col. 5, lines 21-34, *prevent access*) the failed first slave unit (Fig. 5, element 508, *tag failed device*).

As per claim 10, Cepulis discloses the step of changing information in persistent storage associated with the first slave unit from identified as failed to identified as good if the master unit does not terminate abnormally after the master unit attempts to contact the slave unit (col. 12, lines 62-67 through col. 13, lines 1-2).

As per claim 11, Cepulis discloses the step of setting a variable slot chosen from amongst a plurality of slots of the switch not marked as potentially bad (col. 5, lines 14-20, failed device log) and (Fig. 5, element 508, tag failed devices).

As per claim 12, Cepulis discloses the step of determining whether the first slave unit is physically present in a first slot of the plurality of slots (col. 8, lines 3-9).

As per claim 13, Cepulis discloses the step of determining the first slot is marked to be skipped (col. 5, lines 14-20, failed device log) and (Fig. 5, element 508, tag failed devices).

As per claim 14, Cepulis discloses the step of marking the variable slot as potentially bad if it is not marked potentially bad (col. 5, lines 14-20, failed device log) and (Fig. 5, element 508, tag failed devices).

As per claim 15, Cepulis discloses the step of reporting the variable slot as containing broken hardware (col. 5, lines 14-20, failed device log) and preventing the master unit from attempting to access the variable slot (col. 5, lines 21-34, *prevent access*) if the variable slot is marked to be skipped and (Fig. 5, element 508, tag failed devices).

As per claim 16, Cepulis discloses the step of attempting to access hardware present in the variable slot if the variable slot is marked potentially bad (col. 5, lines 14-20, failed device log) and (Fig. 5, element 508, tag failed devices).

As per claim 17, Cepulis discloses the step of marking the variable slot as good if the switch did not abnormally terminate when the master unit accessed the first slave unit (col. 12, lines 62-67 through col. 13, lines 1-2).

As per claim 18, Cepulis discloses the step of enabling normal operations on hardware present in the variable slot if the variable slot is marked as good (col. 12, lines 62-67 through col. 13, lines 1-2).

As per claim 19, Cepulis discloses the step of setting the variable slot to a next slot of the plurality of slots (Fig. 5, element 516, *check for remaining devices*).

### ***Response to Arguments***

Applicant's arguments have been fully considered with the examiner's response detailed below.

Applicant's arguments see pages 7-11, filed October 18, 2006 with respect to the rejection(s) of claim(s) 1-19 under 35 USC § 102 have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made over Abramson (U.S. Patent No. 6,000,043) in view of Cepulis et al. (U.S. Patent No. 6,463,550). Refer to the corresponding section of the claim analysis for details.


### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Elmira Mehrmanesh whose telephone number is (571) 272-5531. The examiner can normally be reached on 8-4:30 M-F.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert W. Beausoliel can be reached on (571) 272-3645. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
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